



Payment for Order Flow

We wish to inform you, in accordance with Securities and Exchange Commission regulations, our payment for order flow practices. The determination as to where to route orders is based on several factors subject to the overriding consideration that customers receive best execution. All orders are exposed to the possibility of price movement, so that all such orders potentially can be executed at prices superior to the best bid or best offer. Payment for order flow may include any cash or non-cash compensation received by a broker dealer from another broker dealer, national securities exchange, registered securities association or exchange member in exchange for sending customer orders to such entities for execution. ROTH does not receive payment for order flow.